

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FORM PTO-1449 (modified)
 To: U.S. Department of Commerce
 (P/W FORM PAT-1449)
 Patent and Trademark Office

| | | |
|-------------------|---------|-------------|
| Atty. Dkt. No. | M# | Client Ref. |
| | 0308064 | 178 CIP2 |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| | |
|--|---------------------|
| Applicant: Edward G. SUTT, Jr. | |
| Continuation-In-Part of Appln. No.: 10/667,884 filed 9/23/03 | |
| Filing Date: March 15, 2004 | |
| Examiner: TBA | Group Art Unit: TBA |

Date: March 15, 2004 Page 1 of 2

U.S. PATENT DOCUMENTS

| Examiner's Initials* | | Document Number | Date MM/YYYY | Name (Family Name of First Inventor) | Class | Sub Class | Filing Date (if appropriate) |
|-------------------------|----|--------------------|-----------------|---|-------|--------------|------------------------------------|
| LB | AR | 2,126,585 | 08/1938 | STONE | | | |
| | BR | 430,187 | 06/1890 | KENDALL | | | |
| | CR | 159,777 | 02/1875 | STURTEVANT | | | |
| | DR | 1,511,711 | 10/1924 | ATWELL | | | |
| | ER | 2,126,585 | 08/1938 | STONE | | | |
| | FR | 3,031,670 | 05/1962 | SILLARS | | | |
| | GR | 3,083,369 | 04/1963 | PETERSON | | | |
| | HR | 3,137,858 | 06/1964 | POWERS | | | |
| | IR | 3,167,778 | 02/1965 | DECOT et al. | | | |
| | JR | 3,851,759 | 12/1974 | YOUNG et al. | | | |
| | KR | 3,861,527 | 01/1975 | PERKINS | | | |
| | LR | 4,011,785 | 03/1977 | SCHREPFERMAN | | | |
| | MR | 4,679,975 | 07/1987 | LEISTNER | | | |
| | NR | 4,804,088 | 02/1989 | MACDONALD | | | |
| | OR | 4,815,910 | 03/1989 | POTUCEK | | | |
| | PR | 4,836,372 | 06/1989 | SHELTON | | | |
| | QR | 4,863,089 | 09/1989 | MCCARDLE et al. | | | |
| | RR | 4,877,135 | 10/1989 | GABRIEL et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | | Document Number | Date MM/YYYY | Country | Inventor Name | | Abstract | | Readily Available | |
|--|--|----|--------------------|-----------------|---------|---------------|--|----------|----|----------------------|----|
| | | | | | | | | Enclosed | No | Enclose | No |
| | | SR | 0 460 845 A1 | 12/1991 | EUROPE | LAT et al. | | | | | |
| | | TR | 0 367 936 A2 | 05/1990 | EUROPE | SHELTON | | | | | |
| | | UR | | | | | | | | | |
| | | VR | | | | | | | | | |

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

| | | | | |
|-------|--|--|--|--|
| WR | Daniel S. Merrick, "Cycle Comparison Testing of Light Wood Framed Sheer Walls," San Jose State University, 1999. | | | |
| XR | Manasquan Premium Fasteners Web Site," printed Jan. 12, 2004, copyright 2003. | | | |
| YR | Installation Instructions, "Welsh Mountain State Inc.", Oct. 18, 2000. | | | |
| ZR | "Application Guidelines", Maze Nails Online Catalog, 1995. | | | |
| ↓ AAR | Standard Specification for Driven Fasteners: Nails, Spikes, and Staples," American Society for Testing and Materials, p. 1-37 (Jan. 1996). | | | |
| | | | | |
| | | | | |
| | | | | |

Examiner /Luan Bui/ (01/26/2007) Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



| | | | | | |
|---|---|----|--------------------------|------------------------|---------------|
| Substitute for form 1449A/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Application Number | 10/799,766 | |
| | | | Filing Date | March 15, 2004 | |
| | | | First Named Inventor | Edward G. SUTT, Jr. | |
| | | | Group Art Unit | 3624 | |
| | | | Examiner Name | Not yet assigned | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 032228-040100 |

| U.S. PATENT DOCUMENTS | | | | | |
|---|--------------------------|--|--------------------------------|--|---|
| Examiner Initials ¹ | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
| LB ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ | 1 | US-4,002,098 | 01/11/1977 | Colechia | |
| | 2 | US-5,960,721 | 10/05/1999 | Huetteman et al. | |
| | 3 | US-5,143,501 | 09/01/1992 | Leistner et al. | |
| | 4 | US-5,673,629 | 10/07/1997 | Ginnow | |
| | 5 | US-6,557,703 B1 | 05/06/2003 | Leitner | |
| | 6 | US-6,758,018 B2 | 07/06/2004 | Sutt, Jr. | |
| | 7 | US-6,086,305 | 07/11/2000 | Lat et al. | |
| | 8 | US-6,422,447 B1 | 07/23/2002 | White et al. | |
| | 9 | US-6,431,430 B1 | 08/13/2002 | Jalbert et al. | |
| | 10 | US-6,805,525 B2 | 10/19/2004 | Oswald | |
| | 11 | US-2003/0145544 A1 | 08/07/2003 | Sutt, Jr. | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|-----------------------------------|--------------------------|---|--------------------------------|--|--|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | </ | |

| | | | |
|-----------------------|-------------------------|--------------------|--|
| Examiner Signature | /Luan Bui/ (01/26/2007) | Date Considered | |
|-----------------------|-------------------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office

| | | |
|-------------------|---------|-------------|
| Atty. Dkt. No. | M# | Client Ref. |
| | 0308064 | 178 CIP2 |

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

| | |
|--|---------------------|
| Applicant: Edward G. SUTT, Jr. | |
| Continuation-In-Part of Appln. No.: 10/667,884 filed 9/23/03 | |
| Filing Date: March 15, 2004 | |
| Examiner: TBA | Group Art Unit: TBA |

Date: March 15, 2004 Page 2 of 2

U.S. PATENT DOCUMENTS

| Examiner's Initials* | Document Number | Date MM/YYYY | Name (Family Name of First Inventor) | Class | Sub Class | Filing Date (if appropriate) |
|-------------------------|--------------------|-----------------|---|-------|--------------|------------------------------------|
| LB | AR 4,971,503 | 11/1990 | BARNELL et al. | | | |
| | BR 5,005,699 | 04/1991 | KAWASHIMA et al. | | | |
| | CR 5,033,181 | 07/1991 | LAT et al. | | | |
| | DR 5,056,976 | 10/1991 | SYGNATOR et al. | | | |
| | ER 5,140,715 | 08/1992 | MONACELLI | | | |
| | FR 5,409,111 | 04/1995 | TAKUMI | | | |
| | GR 5,456,635 | 10/1995 | MONACELLI | | | |
| | HR 5,476,687 | 12/1995 | GABRIEL et al. | | | |
| | IR 5,482,419 | 01/1996 | LEISTNER | | | |
| | JR 5,622,024 | 04/1997 | HABERMEHL | | | |
| | KR 5,642,974 | 07/1997 | GABRIEL et al. | | | |
| | LR 5,909,993 | 06/1999 | LEISTNER | | | |
| | MR 5,921,736 | 07/1999 | HABERMEHL | | | |
| | NR 5,934,465 | 08/1999 | ABBRUZZESE et al. | | | |
| | OR 5,967,316 | 10/1999 | ABBRUZZESE et al. | | | |
| ↓ | PR 6,010,291 | 01/2000 | SCHWINGLE | | | |
| | QR 5,415,511 | 05/1995 | DAMRON | | | |
| | RR | | | | | |
| | SR | | | | | |
| | TR | | | | | |
| | UR | | | | | |

FOREIGN PATENT DOCUMENTS

| Document Number | Date MM/YYYY | Country | Inventor Name | English Abstract | Translation Readily Available |
|--------------------|-----------------|---------|---------------|---------------------|-------------------------------------|
| | | | | Enclosed | No |
| VR | | | | | |
| WR | | | | | |
| XR | | | | | |

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

| | | | | | |
|----|-----|---|--|--|--|
| LB | YR | "National Evaluation Report," National Evaluation Service, Inc., p. 1-43, Sept. 1, 1997. | | | |
| LB | ZR | "Stainless Steel Annular Thread Common Nails," Manasquan Premium Fasteners, Oct. 3, 2001. | | | |
| | AAR | | | | |
| | BBR | | | | |

Examiner /Luan Bui/ (01/26/2007) Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



| | | | | | |
|---|---|----|--------------------------|------------------------|---------------|
| Substitute for form 1449A/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Application Number | 10/799,766 | |
| | | | Filing Date | March 15, 2004 | |
| | | | First Named Inventor | Edward G. SUTT, Jr. | |
| | | | Group Art Unit | 3624 | |
| | | | Examiner Name | Not yet assigned | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 032228-040100 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| LB | 12 | SUTT, Jr., "Pallet Nail with Enlarged Head", Application No. 10/667,884, filed September 23, 2003 (pending) | |
| | | | |
| | | | |

| | | | |
|-----------------------|-------------------------|--------------------|--|
| Examiner Signature | /Luan Bui/ (01/26/2007) | Date Considered | |
|-----------------------|-------------------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



| | | | | | |
|---|---|--------------------------|---------------------|------------------------|---------------|
| Substitute for Trademark/PTO | | Complete if Known | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 10/799,766 | | |
| | | Filing Date | March 15, 2004 | | |
| | | First Named Inventor | Edward G. SUTT, Jr. | | |
| | | Group Art Unit | 3624 | | |
| | | Examiner Name | Not yet assigned | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 032228-040100 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials ¹ | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
| LB | 1 | US- 2003/0006166 A1 | 01-09-2003 | Leitner | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|-----------------------------------|--------------------------|---|--------------------------------|--|--|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| LB | 2 | EP 1 022 346 A1 | 07-26-2000 | Lat, et al. | | FULL |
| ↓ | 3 | EP 0 672 482 A1 | 09-20-1995 | Monacelli | | FULL |
| | 4 | FR 2 757 830 A1 | 07-03-1998 | Richert Andre | | AB |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | | |
|-----------------------|-------------------------|--------------------|--|
| Examiner Signature | /Luan Bui/ (01/26/2007) | Date Considered | |
|-----------------------|-------------------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



| | | | | | |
|---|---|--------------------------|---------------------|------------------------|---------------|
| Substitute for form PTO | | Complete If Known | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 10/799,766 | | |
| | | Filing Date | March 15, 2004 | | |
| | | First Named Inventor | Edward G. SUTT, Jr. | | |
| | | Group Art Unit | 3624 | | |
| | | Examiner Name | Not yet assigned | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 032228-040100 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| LB | 5 | European Search Report, Application No. 04257016.8-2424, dated May 9, 2006, Applicant- Stanley Fastening Systems L.P. | |
| LB | 6 | Sutt, Jr., "Pallet Nail With Enlarged Head", Application No. 11/482,729, Filed July 10, 2006. (pending) | |

| | | | |
|-----------------------|-------------------------|--------------------|--|
| Examiner Signature | /Luan Bui/ (01/26/2007) | Date Considered | |
|-----------------------|-------------------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.